

|  |  |  |                                  |  |
|--|--|--|----------------------------------|--|
| Department of Health and Human Services<br>Public Health Services<br><br><b>Grant Application</b><br><i>Do not exceed character length restrictions indicated.</i>   |  | <b>LEAVE BLANK—FOR PHS USE ONLY.</b>   |                                  |  |
|  |  | Type   | Activity                         | Number   |
|  |  | Review Group   |                                  | Formerly   |
|  |  | Council/Board (Month, Year)  |                                  | Date Received  |
| 1. TITLE OF PROJECT <i>(Do not exceed 81 characters, including spaces and punctuation.)</i>  |  |  |                                  |  |
| 2. RESPONSE TO SPECIFIC REQUEST FOR APPLICATIONS OR PROGRAM ANNOUNCEMENT OR SOLICITATION <input type="checkbox"/> NO <input type="checkbox"/> YES<br><i>(If "Yes," state number and title)</i>   |  |  |                                  |  |
| Number: _____ Title: _____   |  |  |                                  |  |
| <b>3. PROGRAM DIRECTOR/PRINCIPAL INVESTIGATOR</b>  |  |  |                                  |  |
| 3a. NAME (Last, first, middle)   |  | 3b. DEGREE(S)  |                                  | 3h. eRA Commons User Name  |
| 3c. POSITION TITLE   |  | 3d. MAILING ADDRESS <i>(Street, city, state, zip code)</i>   |                                  |  |
| 3e. DEPARTMENT, SERVICE, LABORATORY, OR EQUIVALENT   |  |  |                                  |  |
| 3f. MAJOR SUBDIVISION  |  |  |                                  |  |
| 3g. TELEPHONE AND FAX <i>(Area code, number and extension)</i>   |  |  |                                  |  |
| TEL: _____ FAX: _____  |  | E-MAIL ADDRESS: _____  |                                  |  |
| 4. HUMAN SUBJECTS RESEARCH<br><input type="checkbox"/> No <input type="checkbox"/> Yes   |  | 4a. Research Exempt If "Yes," Exemption No.<br><input type="checkbox"/> No <input type="checkbox"/> Yes  |                                  |  |
| 4b. Federal-Wide Assurance No.   |  | 4c. Clinical Trial<br><input type="checkbox"/> No <input type="checkbox"/> Yes   |                                  | 4d. NIH-defined Phase III Clinical Trial<br><input type="checkbox"/> No <input type="checkbox"/> Yes |
| 5. VERTEBRATE ANIMALS <input type="checkbox"/> No <input type="checkbox"/> Yes   |  |  | 5a. Animal Welfare Assurance No. |  |
| 6. DATES OF PROPOSED PERIOD OF SUPPORT <i>(month, day, year—MM/DD/YY)</i>  |  | 7. COSTS REQUESTED FOR INITIAL BUDGET PERIOD   |                                  | 8. COSTS REQUESTED FOR PROPOSED PERIOD OF SUPPORT  |
| From _____ Through _____   |  | 7a. Direct Costs (\$)  | 7b. Total Costs (\$)             | 8a. Direct Costs (\$)  |
|  |  |  |                                  | 8b. Total Costs (\$)   |
| 9. APPLICANT ORGANIZATION<br>Name<br><br>Address   |  | 10. TYPE OF ORGANIZATION   |                                  |  |
|  |  | Public: → <input type="checkbox"/> Federal <input type="checkbox"/> State <input type="checkbox"/> Local<br><br>Private: → <input type="checkbox"/> Private Nonprofit<br><br>For-profit: → <input type="checkbox"/> General <input type="checkbox"/> Small Business<br><input type="checkbox"/> Woman-owned <input type="checkbox"/> Socially and Economically Disadvantaged |                                  |  |
|  |  | 11. ENTITY IDENTIFICATION NUMBER   |                                  |  |
|  |  | DUNS NO.   |                                  | Cong. District   |
| 12. ADMINISTRATIVE OFFICIAL TO BE NOTIFIED IF AWARD IS MADE  |  | 13. OFFICIAL SIGNING FOR APPLICANT ORGANIZATION  |                                  |  |
| Name   |  | Name   |                                  |  |
| Title  |  | Title  |                                  |  |
| Address  |  | Address  |                                  |  |
| Tel: _____ FAX: _____  |  | Tel: _____ FAX: _____  |                                  |  |
| E-Mail: _____  |  | E-Mail: _____  |                                  |  |
| 14. APPLICANT ORGANIZATION CERTIFICATION AND ACCEPTANCE: I certify that the statements herein are true, complete and accurate to the best of my knowledge, and accept the obligation to comply with Public Health Services terms and conditions if a grant is awarded as a result of this application. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. |  | SIGNATURE OF OFFICIAL NAMED IN 13.<br><i>(In ink. "Per" signature not acceptable.)</i>   |                                  | DATE   |

Program Director/Principal Investigator (Last, First, Middle):

PROJECT SUMMARY (See instructions):

RELEVANCE (See instructions):

PROJECT/PERFORMANCE SITE(S) (if additional space is needed, use Project/Performance Site Format Page)

|   |          |           |                  |
|---|----------|-----------|------------------|
| <b>Project/Performance Site Primary Location</b>    |          |           |                  |
| Organizational Name:                                |          |           |                  |
| DUNS:   |          |           |                  |
| Street 1:   |          | Street 2: |                  |
| City:   |          | County:   | State:           |
| Province:   | Country: |           | Zip/Postal Code: |
| Project/Performance Site Congressional Districts:   |          |           |                  |
| <b>Additional Project/Performance Site Location</b> |          |           |                  |
| Organizational Name:                                |          |           |                  |
| DUNS:   |          |           |                  |
| Street 1:   |          | Street 2: |                  |
| City:   |          | County:   | State:           |
| Province:   | Country: |           | Zip/Postal Code: |
| Project/Performance Site Congressional Districts:   |          |           |                  |

Program Director/Principal Investigator (Last, First, Middle):

SENIOR/KEY PERSONNEL. See instructions. Use continuation pages as needed to provide the required information in the format shown below. Start with Program Director(s)/Principal Investigator(s). List all other senior/key personnel in alphabetical order, last name first.

| Name | eRA Commons User Name | Organization | Role on Project |
|------|-----------------------|--------------|-----------------|
|------|-----------------------|--------------|-----------------|

OTHER SIGNIFICANT CONTRIBUTORS

| Name | Organization | Role on Project |
|------|--------------|-----------------|
|------|--------------|-----------------|

Human Embryonic Stem Cells  No  Yes

If the proposed project involves human embryonic stem cells, list below the registration number of the specific cell line(s) from the following list: [https://grants.nih.gov/stem\\_cells/registry/current.htm](https://grants.nih.gov/stem_cells/registry/current.htm). Use continuation pages as needed.

If a specific line cannot be referenced at this time, include a statement that one from the Registry will be used.

Cell Line

|  |      |         |
|--|------|---------|
| <b>DETAILED BUDGET FOR INITIAL BUDGET PERIOD<br/>DIRECT COSTS ONLY</b> | FROM | THROUGH |
|--|------|---------|

List PERSONNEL (*Applicant organization only*)  
 Use Cal, Acad, or Summer to Enter Months Devoted to Project  
 Enter Dollar Amounts Requested (*omit cents*) for Salary Requested and Fringe Benefits

| NAME               | ROLE ON PROJECT | Cal. Mnths | Acad. Mnths | Summer Mnths | INST.BASE SALARY | SALARY REQUESTED | FRINGE BENEFITS | TOTAL |
|--------------------|-----------------|------------|-------------|--------------|------------------|------------------|-----------------|-------|
|                    | PD/PI           |            |             |              |                  |                  |                 | 0     |
|                    |                 |            |             |              |                  |                  |                 | 0     |
|                    |                 |            |             |              |                  |                  |                 | 0     |
|                    |                 |            |             |              |                  |                  |                 | 0     |
|                    |                 |            |             |              |                  |                  |                 | 0     |
|                    |                 |            |             |              |                  |                  |                 | 0     |
|                    |                 |            |             |              |                  |                  |                 | 0     |
|                    |                 |            |             |              |                  |                  |                 | 0     |
| <b>SUBTOTALS</b> → |                 |            |             |              |                  | 0                | 0               | 0     |

|  |  |
|--|--|
| CONSULTANT COSTS   |  |
| EQUIPMENT ( <i>Itemize</i> )                               |  |
| SUPPLIES ( <i>Itemize by category</i> )                    |  |
| TRAVEL   |  |
| INPATIENT CARE COSTS                                       |  |
| OUTPATIENT CARE COSTS                                      |  |
| ALTERATIONS AND RENOVATIONS ( <i>Itemize by category</i> ) |  |
| OTHER EXPENSES ( <i>Itemize by category</i> )              |  |

|  |                                     |             |
|--|-------------------------------------|-------------|
| CONSORTIUM/CONTRACTUAL COSTS   | DIRECT COSTS                        |             |
| <b>SUBTOTAL DIRECT COSTS FOR INITIAL BUDGET PERIOD</b> ( <i>Item 7a, Face Page</i> ) |                                     | <b>\$ 0</b> |
| CONSORTIUM/CONTRACTUAL COSTS   | FACILITIES AND ADMINISTRATIVE COSTS |             |
| <b>TOTAL DIRECT COSTS FOR INITIAL BUDGET PERIOD</b>                                  |                                     | <b>\$ 0</b> |

Program Director/Principal Investigator (Last, First, Middle):

**BUDGET FOR ENTIRE PROPOSED PROJECT PERIOD  
DIRECT COSTS ONLY**

| BUDGET CATEGORY TOTALS   | INITIAL BUDGET PERIOD<br><i>(from Form Page 4)</i> | 2nd ADDITIONAL YEAR OF SUPPORT REQUESTED | 3rd ADDITIONAL YEAR OF SUPPORT REQUESTED | 4th ADDITIONAL YEAR OF SUPPORT REQUESTED | 5th ADDITIONAL YEAR OF SUPPORT REQUESTED |
|--|--|--|--|--|--|
| PERSONNEL: <i>Salary and fringe benefits. Applicant organization only.</i> |  |  |  |  |  |
| CONSULTANT COSTS   |  |  |  |  |  |
| EQUIPMENT  |  |  |  |  |  |
| SUPPLIES   |  |  |  |  |  |
| TRAVEL   |  |  |  |  |  |
| INPATIENT CARE COSTS   |  |  |  |  |  |
| OUTPATIENT CARE COSTS  |  |  |  |  |  |
| ALTERATIONS AND RENOVATIONS  |  |  |  |  |  |
| OTHER EXPENSES   |  |  |  |  |  |
| DIRECT CONSORTIUM/ CONTRACTUAL COSTS                                       |  |  |  |  |  |
| <b>SUBTOTAL DIRECT COSTS</b><br><i>(Sum = Item 8a, Face Page)</i>          | 0  | 0  | 0  | 0  | 0  |
| F&A CONSORTIUM/ CONTRACTUAL COSTS  |  |  |  |  |  |
| <b>TOTAL DIRECT COSTS</b>  | 0  | 0  | 0  | 0  | 0  |
| <b>TOTAL DIRECT COSTS FOR ENTIRE PROPOSED PROJECT PERIOD</b>               |  |  |  |  | <b>\$ 0</b>                              |

JUSTIFICATION. Follow the budget justification instructions exactly. Use continuation pages as needed.

**BIOGRAPHICAL SKETCH**

Provide the following information for the Senior/key personnel and other significant contributors.  
 Follow this format for each person. **DO NOT EXCEED FIVE PAGES.**

NAME:

eRA COMMONS USER NAME (credential, e.g., agency login):

POSITION TITLE:

EDUCATION/TRAINING *(Begin with baccalaureate or other initial professional education, such as nursing, include postdoctoral training and residency training if applicable. Add/delete rows as necessary.)*

| INSTITUTION AND LOCATION | DEGREE<br><i>(if applicable)</i> | Completion Date<br>MM/YYYY | FIELD OF STUDY |
|--------------------------|----------------------------------|----------------------------|----------------|
|                          |                                  |                            |                |

**A. Personal Statement**

**B. Positions, Scientific Appointments, and Honors**

**C. Contributions to Science**

Name of Applicant (Last, First, Middle):

RESEARCH PLAN

RESPONSE TO REVIEWER (if resubmission) (0.5 page)

SPECIFIC AIMS (1 page)

SIGNIFICANCE (0.5 page)

INNOVATION (0.5 page)

RESEARCH STRATEGY (maximum 2 pages for Introduction to Research awards, 6 pages for all others)

BIBLIOGRAPHY AND REFERENCES CITED

Name of Applicant (Last, First, Middle):

VERTEBRATE ANIMALS SECTION



## CONSORTIUM/CONTRACTUAL ARRANGEMENTS

## LETTER OF SUPPORT

- from Department Head and/or Clinical Unit Chief and any letters necessary to demonstrate the support of consortium participants and collaborators such as Senior/Key Personnel and Other Significant Contributors included in the application
- from each DaCCoTA Core following core meetings

## RESOURCE SHARING PLAN

## AUTHENTICATION OF KEY BIOLOGICAL AND/OR CHEMICAL RESOURCES

## DaCCoTA Grant Application Human Subjects Overview

### Investigator(s)/Co-Investigator(s)

| Role                             | Name                             | Affiliation                      |
|----------------------------------|----------------------------------|----------------------------------|
| Clinical Investigator            | Click or tap here to enter text. | Click or tap here to enter text. |
| Non-Clinical Investigator        | Click or tap here to enter text. | Click or tap here to enter text. |
| Click or tap here to enter text. | Click or tap here to enter text. | Click or tap here to enter text. |
| Click or tap here to enter text. | Click or tap here to enter text. | Click or tap here to enter text. |
| Click or tap here to enter text. | Click or tap here to enter text. | Click or tap here to enter text. |

**Project Title:** Click or tap here to enter text.

### IRB Approval or Human Subjects Determination Status

- Approved
- Pending Approval/Submitted
- Not Submitted
- Not Required (project does not involve human subjects research) **FORM COMPLETE, Do Not Proceed**

**IRB of Record** (If multiple IRBs of record, please justify.): Click or tap here to enter text.

### IRB Application Type

- Determination of Human Subjects
- Record Review of Existing Specimens, Retrospective
- Investigator Initiated, Prospective

**IRB Deadline(s)** (Include pre-review deadlines, if available): Click or tap here to enter text.

### Specimen Required (select all that apply)

- Serum
- Plasma
- DNA
- Tissue
- Archival
- Fresh
- None
- Other, please describe: Click or tap here to enter text.

**Subject Characteristics** (Describe the characteristics of the subjects. Criteria might include disease status, gender, age, race, ethnicity, comorbidities, treatments, date ranges, survival status, etc. Be as specific as possible.)

Click or tap here to enter text.

**Number of Subjects:** Click or tap here to enter text.

### Do you require serial specimen?

- Yes, please describe: Click or tap here to enter text.
- No



### Community Engagement Relevance Survey for DaCCoTA Applications

Directions: The Community Engagement Relevance Survey is required for all DaCCoTA applications. The purpose of this survey is to identify relevant community engagement in prospective applications.

Applicant Name:

Core and Grant Funding Mechanism:

Project Name:

**This identification is required for all DaCCoTA (AICoRN, BERDC, PDC, PPP) applications:**

As part of your application, please identify which of the following communities is most relevant to your Community Engagement Scholars application (select all that apply):

- American Indian (both rural and urban) communities
- Rural communities
- New American, Foreign-born, and Immigrant (NFI) communities
- Lesbian, Gay, Bisexual, Transgender, & Queer + (LGBTQ+) communities

**For PDC Community Engagement Scholars Applications Only!**

As part of your application, please identify which of the following research priorities is most relevant to your Community Engagement Scholars application (select all that apply):

- Behavioral health (including substance use disorder, mental health, suicidality, and overall wellness)
- Food insecurity, nutrition, and food deserts
- Chronic disease (including diabetes, cancer, hypertension, obesity, and pain)
- Culturally-safe and trauma-informed healthcare and research (including integrative therapies such as traditional healing, and innovative prenatal and natal, and postnatal care)
- Unresolved trauma (including health impacts of trauma and toxic stress, adverse childhood experiences, and disproportionate foster care experiences)

Program Director/Principal Investigator (Last, First, Middle): \_\_\_\_\_

**CHECKLIST**

**TYPE OF APPLICATION** (Check all that apply.)

- NEW application. (This application is being submitted to the PHS for the first time.)
- RESUBMISSION of application number: \_\_\_\_\_  
(This application replaces a prior unfunded version of a new, renewal, or revision application.)
- RENEWAL of grant number: \_\_\_\_\_  
(This application is to extend a funded grant beyond its current project period.)
- REVISION to grant number: \_\_\_\_\_  
(This application is for additional funds to supplement a currently funded grant.)
- CHANGE of program director/principal investigator.  
Name of former program director/principal investigator: \_\_\_\_\_
- CHANGE of Grantee Institution. Name of former institution: \_\_\_\_\_
- FOREIGN application     Domestic Grant with foreign involvement    List Country(ies) Involved: \_\_\_\_\_

INVENTIONS AND PATENTS (Renewal appl. only)     No     Yes  
 If "Yes,"  Previously reported     Not previously reported

**1. PROGRAM INCOME** (See instructions.)

All applications must indicate whether program income is anticipated during the period(s) for which grant support is request. If program income is anticipated, use the format below to reflect the amount and source(s).

| Budget Period | Anticipated Amount | Source(s) |
|---------------|--------------------|-----------|
|               |                    |           |

**2. ASSURANCES/CERTIFICATIONS** (See instructions.)

In signing the application Face Page, the authorized organizational representative agrees to comply with the policies, assurances and/or certifications listed in the application instructions when applicable. Descriptions of individual assurances/certifications are provided in the [NIH Grants Policy Statement, Section 4: Public Policy Requirements, Objectives and Other Appropriation Mandates](#). If unable to certify compliance, where applicable, provide an explanation and place it after this page.

**3. FACILITIES AND ADMINISTRATIVE COSTS (F&A)/ INDIRECT COSTS.** See specific instructions.

- HHS Agreement dated: \_\_\_\_\_  No Facilities And Administrative Costs Requested.
- HHS Agreement being negotiated with \_\_\_\_\_ Regional Office.
- No HHS Agreement, but rate established with \_\_\_\_\_ Date \_\_\_\_\_

CALCULATION\* (The entire grant application, including the Checklist, will be reproduced and provided to peer reviewers as confidential information.)

|                           |  |       |               |  |                    |
|---------------------------|--|-------|---------------|--|--------------------|
| a. Initial budget period: | Amount of base \$ _____ x Rate applied | 0.00% | % = F&A costs | \$   | 0.00               |
| b. 02 year                | Amount of base \$ _____ x Rate applied | 0.00% | % = F&A costs | \$   | 0.00               |
| c. 03 year                | Amount of base \$ _____ x Rate applied | 0.00% | % = F&A costs | \$   | 0.00               |
| d. 04 year                | Amount of base \$ _____ x Rate applied | 0.00% | % = F&A costs | \$   | 0.00               |
| e. 05 year                | Amount of base \$ _____ x Rate applied | 0.00% | % = F&A costs | \$   | 0.00               |
|                           |  |       |               |  | 0.00               |
|                           |  |       |               | <b>Enter Rate above as a decimal (e.g., 0.25 for 25%, 0.495 for 49.5%)</b> | TOTAL F&A Costs \$ |

\*Check appropriate box(es):

- Salary and wages base     Modified total direct cost base     Other base (Explain)
- Off-site, other special rate, or more than one rate involved (Explain)

Explanation (Attach separate sheet, if necessary.): \_\_\_\_\_